

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

B42

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

| | | |
|--|-----------|---|
| (51) International Patent Classification ⁷ : A61K 31/00, 31/69, 38/55, A61P 37/00, 37/08, 29/00, 35/00, 1/00, 9/10, 31/00, 31/12, 43/00 | A1 | (11) International Publication Number: WO 00/10549 (43) International Publication Date: 2 March 2000 (02.03.00) |
| (21) International Application Number: PCT/US99/18315 (22) International Filing Date: 13 August 1999 (13.08.99) (30) Priority Data: 60/097,376 21 August 1998 (21.08.98) US (71) Applicant (for all designated States except US): POINT THER- APEUTICS, INC. [US/US]; 75 Kneeland Street, Boston, MA 02111 (US). (72) Inventor; and (75) Inventor/Applicant (for US only): WALLNER, Barbara [-/US]; 64 Arrowhead Road, Weston, MA 02193 (US). (74) Agent: GOSZ, William, G.; Wolf, Greenfield & Sacks, P.C., 600 Atlantic Avenue, Boston, MA 02210 (US). | | (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i> |
| (54) Title: REGULATION OF SUBSTRATE ACTIVITY (57) Abstract A method for regulating substrate activity <i>in vivo</i> is useful for the treatment of medical disorders such as inflammation, arteriolosclerosis and angiogenesis. The method involves the administration of an effective amount of a DPP-IV inhibitor to a patient in need of such treatment. | | |

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| | | | | | | | |
|----|--------------------------|----|--|----|--|----|--------------------------|
| AL | Albania | ES | Spain | LS | Lesotho | SI | Slovenia |
| AM | Armenia | FI | Finland | LT | Lithuania | SK | Slovakia |
| AT | Austria | FR | France | LU | Luxembourg | SN | Senegal |
| AU | Australia | GA | Gabon | LV | Latvia | SZ | Swaziland |
| AZ | Azerbaijan | GB | United Kingdom | MC | Monaco | TD | Chad |
| BA | Bosnia and Herzegovina | GE | Georgia | MD | Republic of Moldova | TG | Togo |
| BB | Barbados | GH | Ghana | MG | Madagascar | TJ | Tajikistan |
| BE | Belgium | GN | Guinea | MK | The former Yugoslav Republic of Macedonia | TM | Turkmenistan |
| BF | Burkina Faso | GR | Greece | ML | Mali | TR | Turkey |
| BG | Bulgaria | HU | Hungary | MN | Mongolia | TT | Trinidad and Tobago |
| BJ | Benin | IE | Ireland | MR | Mauritania | UA | Ukraine |
| BR | Brazil | IL | Israel | MW | Malawi | UG | Uganda |
| BY | Belarus | IS | Iceland | MX | Mexico | US | United States of America |
| CA | Canada | IT | Italy | NE | Niger | UZ | Uzbekistan |
| CF | Central African Republic | JP | Japan | NL | Netherlands | VN | Viet Nam |
| CG | Congo | KE | Kenya | NO | Norway | YU | Yugoslavia |
| CH | Switzerland | KG | Kyrgyzstan | NZ | New Zealand | ZW | Zimbabwe |
| CI | Côte d'Ivoire | KP | Democratic People's Republic of Korea | PL | Poland | | |
| CM | Cameroon | KR | Republic of Korea | PT | Portugal | | |
| CN | China | KZ | Kazakstan | RO | Romania | | |
| CU | Cuba | LC | Saint Lucia | RU | Russian Federation | | |
| CZ | Czech Republic | LI | Liechtenstein | SD | Sudan | | |
| DE | Germany | LK | Sri Lanka | SE | Sweden | | |
| DK | Denmark | LR | Liberia | SG | Singapore | | |
| EE | Estonia | | | | | | |

REGULATION OF SUBSTRATE ACTIVITY

Background of the Invention

5 This invention relates to inhibitors for dipeptidyl peptidase IV which are used to regulate substrate activity. As used herein, the term "substrate" denotes chemokines, cytokines and biological peptides which are substrates for DPP-IV. This invention also relates to the use of DPP-IV inhibitors in the treatment of medical disorders which may result from the inactivation of substrates implicated in the medical disorder. As used herein, dipeptidyl
10 peptidase IV is alternatively described as "DPP-IV," "DP-IV" and "CD26." CD26 is an ectoenzyme with activity identical to that of DPP-IV.

DPP-IV is a serine type exopeptidase with high substrate specificity cleaves N-terminal dipeptides from proteins if the penultimate amino acid is proline, or in some cases alanine (Fleischer, B. *Immunol. Today* 15:180 (1994)).

15 A class of low molecular weight synthetic monomeric molecules with high affinity for CD26 have previously been developed and characterized (G.R. Flentke et al., Inhibition of dipeptidyl aminopeptidase IV (DP-IV) by Xaa-boroPro dipeptides and use of these inhibitors to examine the role of DP-IV in T-cell function, *PNAS (USA)* 88, 1556-1559 (1991); W.G. Gutheil and W.W. Bachovchin, Separation of L-Pro-DL-boroPro into Its Component
20 Diastereomers and Kinetic Analysis of Their Inhibition of Dipeptidyl Peptidase IV: A New Method for the Analysis of Slow, Tight-Binding Inhibition, *Biochemistry* 32, 8723-8731 (1993)). These molecules have been shown to be potent and specific synthetic inhibitors for CD26- associated DP-IV proteinase activity.

Representative monomeric structures of these transition-state-analog-based inhibitors,
25 Xaa-boroPro, wherein Xaa is an amino acid residue, include Pro-boroPro, Ala-boroPro, Val-boroPro, and Lys-boroPro. BoroPro refers to the analog of proline in which the carboxylate group (COOH) is replaced with a boronyl group [B(OH)₂]. Pro-boroPro, the most thoroughly characterized of these inhibitors, has a K_i of 16 picomolar (pM) (W.G. Gutheil and W.W. Bachovchin, *supra*). Val-boroPro has an even higher affinity, with a K_i of 1.6 pM (W.G.
30 Gutheil and W.W. Bachovchin, *supra*; R.J. Snow et al., Studies on Proline Boronic Acid Dipeptide Inhibitors of Dipeptidyl Peptidase IV: Identification of a Cyclic Species Containing a B-N Bond, *J. Am. Chem. Soc.* 116, 10860-10869 (1994)). Thus, these Xaa-boroPro inhibitors are about 10⁺⁶ fold more potent than the next best known inhibitors.

United States Patent Nos. 4,935,493 (Bachovchin '493) and 5,462,928 (Bachovchin '928), both of which are incorporated herein by reference, disclose protease inhibitors and transition state analogs (the '493 patent) and methods for treating transplant rejection in a patient, arthritis, or systemic lupus erythematosus (SLE) by administering a potent inhibitor of the catalytic activity of soluble amino peptidase activity of dipeptidyl peptidase type IV (G.R. Flentke et al., supra).

Chemokines, or chemoattractant cytokines, are a family of small proteins with a conserved cysteine motifs. These small proteins have been implicated in a wide range of disease states, such as acute and chronic inflammatory processes, angiogenesis, leukocyte migration, regulation of cell proliferation and maturation, hematopoiesis, viral replication, and other immunoregulatory functions. Chemokines are expressed by a number of different cells and have distinct but overlapping cellular targets.

There are two groups of chemokines defined according to their structural characteristics: the CXC and the CC groups. In addition, C-chemokines and CX3C-chemokines have been identified. Members of the CXC group, which include SDF-1 and IL-8, attract mostly neutrophils, while the CC group acts on monocytes and granulocytes. The CC group includes such molecules as human monocyte chemotactic protein 1 (MCP-1) and RANTES. MCP-1 and RANTES are potent direct mediators of the release of histamine by human basophils. Both groups of chemokines are involved in lymphocyte migration to inflammatory sites.

The majority of chemokine receptors are transmembrane spanning molecules which belong to the family of G-protein-coupled receptors. Many of these receptors couple to guanine nucleotide binding proteins to transmit cellular signals. Chemokines and receptor expression is upregulated during inflammatory responses and cellular activation. Chemokines, through binding to their respective receptors, have been shown to be involved in a number of physiologic conditions. For instance, chemokines of the CXC group, like Interleukin-8, can stimulate angiogenesis, while Platelet Factor-4, growth-related oncogene- β (GRO- β) and interferon- γ induced Protein-10 (IP-10) inhibit endothelial cell proliferation and angiogenesis. Interleukin-8 stimulates endothelial cell proliferation and chemotaxis *in vitro*, and appears to be a primary inducer of macrophage induced angiogenesis. It was shown that the activities of these chemokines are dependent on the NH₂-terminal amino acid sequence (Streiter et al., *J. Biol. Chem.*, 270; pages 27348-27357). SDF-1, another CXC chemokine, is active in the

recruitment and mobilization of hematopoietic cells from the bone marrow, as well as the attraction of monocytes and lymphocytes. In addition, it interferes with cellular infection of HIV-1 by blocking the interaction of HIV-1 with CXCR-4. As with other chemokines in this group, the amino terminal sequence regulates its activity (Shioda, T., et al., *PNAS*, 95; pages 6331-6336).

Chemokine receptors have been shown to serve not only as receptors to chemokines, but most recently have been identified as receptors for a variety of microbes and the HIV-1 virus. For instance, the Duffy blood group Ag, a chemokine receptor on erythrocytes, is the receptor for the malaria parasite *Plasmodium vivax*, and the platelet activating receptor is a receptor for *Streptococcus pneumonia*.

A number of chemokines, such as RANTES, MIP-1 and SDF-1, or cytokines like IL-2, or peptides like GLP-1, GLP-2, and Substance P, are substrates for DPP-IV. DPP-IV cleaves peptides at the NH₂ terminus if the penultimate amino acid is proline. Several cytokines and chemokines have the conserved sequence NH₂X-Pro-X, and have been shown to be substrates for DPP-IV. DPP-IV is expressed on the surface of T cells and macrophages. The relationship of CD26 protease activity to its immune function is not clear, however there are indications that cleavage by CD26 of the NH₂-dipeptide of several cytokines changes their receptor specificity and/or their functional activities.

Cytokines that are known to be potential substrates for DPP-IV include G-CSF, erythropoietin, IL-1 β , IL-2, IL-3, IL-6, IL-11, TNF- β and GM-CSF.

In addition to cytokines and chemokines, a number of biologically active peptides have, on their amino termini, the amino acid sequence Xaa-Pro-Xaa, which serves as the substrate for DPP-IV. Among these are the Glucagon Like Peptides, GLP-1 and GLP-2. GLP-1 is involved in insulin release and glucose uptake, and cleavage by DPP-IV causes inactivation of its activity. Inhibition of DPP-IV will result in the prolonged activation state of this peptide, and represents a therapeutic indication of DPP-IV inhibitors. GLP-2 peptide is involved in intestinal growth and nutrient uptake, and increased activity of GLP-2 will result in an increased nutrient uptake for individuals with intestinal diseases.

In addition to the foregoing, CD26 is known to be highly expressed on hepatosplenic T cell lymphoma, and DPP-IV activity, or the ability of CD26 to bind to collagen fibronectin on the extracellular matrix, may be part of the pathogenic mechanism utilized in by neoplastic cells (Ruiz et al., *Cytometry* 1998, 34: pages 30-35).

DPP-IV and DPP-II levels are known to be significantly increased in the gingival crevicular fluid of patients with periodontitis. Increased levels of these two proteases seem to be associated with increased attachment loss. It is believed that collagen-CD26 interactions are a part of the pathological observations.

5 PCT published application WO 95/11689 discloses the use of inhibitors of DPP-IV to block CD26, thereby blocking entry of HIV into CD26-bearing cells. These inhibitors are tetrapeptides having the general formula X-Pro-Y-boroPro where X and Y are chosen from any amino acid. Although the dipeptides are also disclosed, the reference states that dipeptides are unstable, and tetrapeptides are preferred. The inhibitors are used to treat pre-symptomatic
10 HIV-infected patients not by neutralizing the virus, but by blocking viral entry into the cells. The reference does not disclose the effect of DPP-IV inhibition on chemokine activity.

Summary of the Invention

According to the present invention, a method is provided for the treatment of a
15 medical disorder in a patient which is mediated by substrate activity. Pursuant to this method, a pharmaceutical composition is administered to the patient in an amount which is effective to inhibit DPP-IV activity. This pharmaceutical composition contains, as an active ingredient, a compound represented by the general formula PR, where P is a targeting moiety that binds to DPP-IV, and R is a reactive group that reacts with a functional group in DPP-IV, preferably a
20 reactive center of DPP-IV. Preferably, the active ingredient is a compound comprising an amino group covalently bonded to an alpha-amino boronic acid analog of proline (the term "boroPro" is used herein to designate such an analog having the carboxyl group of proline replaced with a B(OH)₂ group, where (OH)₂ represents two hydroxyl groups and B represents boron). The active ingredient can therefor be designated as Xaa-boroPro, where Xaa is an
25 amino acid residue. Most preferably, the active ingredient is Val-boroPro wherein the carboxy terminal boroProline is coupled via a peptide linkage in accordance with standard peptide chemistry to a valine amino acid residue.

This active ingredient acts to suppress DPP-IV activity for those substrates which are DPP-IV substrates, resulting in an increase in bioavailability of active chemokine *in vivo*. The
30 net effect is a positive therapeutic benefit to the patient, particularly with respect to certain disease states, such as inflammation, angiogenesis, arteriosclerosis, intestinal diseases, diabetes, anorexia, and anti-tumor activity, which could not have been predicted on the basis

of current knowledge of DPP-IV activity or inhibition.

Substrates which are applicable to the method of this invention include those which share a conserved NH₂-X-Pro sequence (where X is any amino acid or a short peptide). These substrates for DPP-IV, and their activity is altered upon cleavage of the N-terminal sequence.

5 The altered substrates can theoretically possess either enhanced or attenuated activity, but they will most typically be inactivated to some extent. Specific examples of chemokines which are inactivated by DPP-IV include, but are not limited to, SDF-1, RANTES, MIP-3. The inhibition of DPP-IV activity prevents the digestion of chemokines, cytokines and growth factors. The substrates of this invention are implicated in a variety of disease states, including
10 inflammation, arteriosclerosis, angiogenesis, and anti-tumor activity. Inhibition of DPP-IV by the active compounds of this invention is believed to result in the bioavailability of the substrates for enhancing responses to medical trauma.

The pharmaceutical composition will typically include the active component (preferably Xaa-boroPro, and most preferably Val-boroPro), and a pharmaceutically
15 acceptable carrier. The pharmaceutical composition can also include various adjuvants, enhancers, cytokines, etc., as are known to those skilled in the art. Patient dosages will generally be within the range of 0.001 mg/kg to 100 mg/kg.

Brief Description of the Drawings

20 Figure 1 shows the bioavailability of PT-100 (Val-boroPro), and the HCl and methane sulfonate salts of PT-100.

Detailed Description of the Invention

The invention involves the use of certain compounds to inhibit DPP-IV activity when
25 appropriately administered to a subject. As used herein, the term "subject" is intended to mean a human, non-human primates, dogs, cats, sheep, goats, horses, cows, pigs and rodents. Preferably, the subject is a human patient undergoing medical treatment.

It has now been discovered that inhibition of DPP-IV activity results in the alteration of certain substrates which are implicated in various medical disorders. Since the substrates of
30 this invention are substrates for DPP-IV, it is believed that DPP-IV acts on the substrates *in vivo* to cleave the two N-terminal sequence where the penultimate amino acid is proline. Alternatively, other peptidases such as peptides A or N could cleave the terminal sequence to

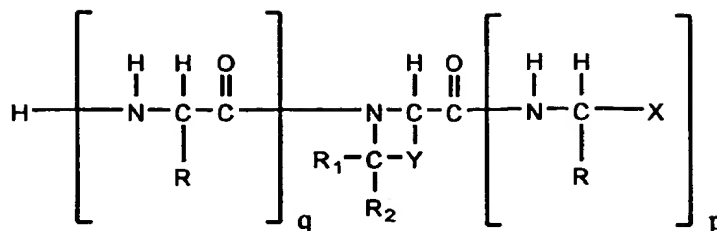
expose the Xaa-Pro-Xaa moiety. This cleavage may result in altering the receptor specificity or functionality of the substrate, and typically will result in changes of the activity of the substrate. This may directly effect the patient's response to a particular medical disorder or trauma. For instance, certain chemokines, such as SDF-1, MIP-1 and RANTES, are known to act as attractants for lymphocytes, monocytes, etc. Cleavage of these chemokines by DPP-IV alters their activity and affects the migration of the lymphocytes to the site of an inflammation, hematopoiesis or immune function. The DPP-IV inhibitor acts to block DPP-IV from cleaving the chemokine, either through competitive interaction with DPP-IV or attachment to the active site.

Active Compounds

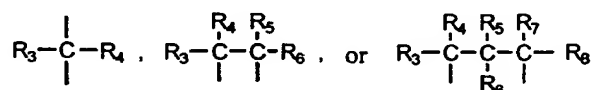
Compounds useful in the invention include, but are not limited to, compounds that inhibit DPP-IV and are embraced by the formula PR, wherein P represents a targeting moiety that binds to DPP-IV and R represents a reactive group that reacts with a functional group in DPP-IV, preferably a reactive center of DPP-IV. P can be any molecule that binds DPP-IV, including DPP-IV binding molecules embraced by the formula: D~A₁-A₂-A₃-A₄, wherein D is independently selected from the group consisting of NH and NH₂, wherein N represents any isotope of nitrogen, wherein H represents any isotope of hydrogen; "~", independently, is selected from the group consisting of a single bond and a double bond; A₁ is selected from the group consisting of a C, a CX and an N, wherein C represents any isotope of carbon, X represents any atom that forms a single bond with carbon; each A₂, A₃, and A₄, independently, is selected from the group consisting of a CX moiety, a CXZ moiety, a CZ moiety, a NX moiety, and an O, wherein X and Z, independently are selected from the group consisting of any atom that forms a single bond and any atom that forms a double bond with C or N and wherein O represent any isotope of oxygen.

Compounds useful according to the invention include the following alternative structures designated as Group I or Group II.

Group I has the structure:

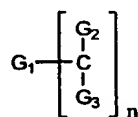


where H represents a hydrogen; C represents a carbon; O represents an oxygen; N represents a nitrogen; each R, independently, is chosen from the group consisting of the R groups of an amino acid, including proline; X represents any atom that forms a single bond with carbon, including hydrogen and halogens; Y is



and each R₁, R₂, R₃, R₄, R₅, R₆, R₇, and R₈, separately is a group which does not significantly interfere with site specific recognition of the inhibitory compound by DPP-IV, and allows a complex to be formed with DPP-IV. Preferably, R₁-R₈ are H each H represents a hydrogen atom; and q and p are integers which are independently varied between 0 and 4 inclusive.

Alternatively, Group I has the structure:

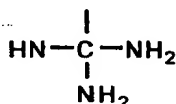


where n is between 0 and 3 inclusive,

each G₂ and G₃ independently is H or C₁-C₃ (one to three carbon atoms) alkyl;

G₁ is NH₃ (H₃ represents three hydrogens);

or G₁ is



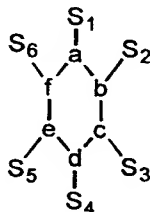
(H₂ represents two hydrogens),

or G₁ is NG₄, where G₄ is



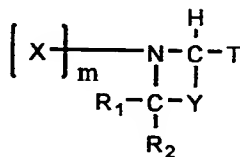
where G_5 and G_6 can be NH , H , or $\text{C}_1\text{-C}_3$ alkyl or alkenyl with one or more carbons substituted with a nitrogen. G_1 bears a charge, and G_1 and G_2 do not form a covalently bonded ring structure at pH 7.0.

5 Group I may also have the structure:

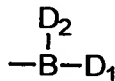


where one or two of the a, b, c, d, e, and f group is N, and the rest are C, and each $\text{S}_1\text{-S}_6$ independently is H or $\text{C}_1\text{-C}_3$ alkyl. Group I may also include a five membered unsaturated ring having two nitrogen atoms, e.g., an imidazole ring.

Group II has the structure:

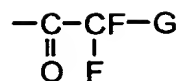


where T is a group of the formula:



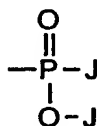
where each D_1 and D_2 , independently, is a hydroxyl group or a group which is capable of being hydrolyzed to a hydroxyl group in aqueous solution at physiological pH;

or T is a group of the formula:



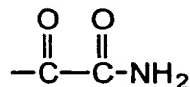
where G is either H, F, or an alkyl group containing 1 to 20 carbon atoms and, optionally, heteroatoms which can be N, S or O;

5 or T is a phosphonate group of the formula:



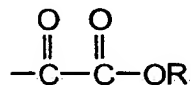
where each J, independently, is any number of N, H, C, O or S atoms, in any combination, or O-alkyl, N-alkyl, or alkyl, each O-alkyl, N-alkyl or alkyl containing 1-20 carbon atoms and, optionally, heteroatoms which can be N, S, or O;

10 or T is



or

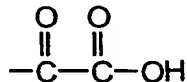
15



where R is an alkyl, or aryl group and may be substituted or unsubstituted, an alphaketo ester;

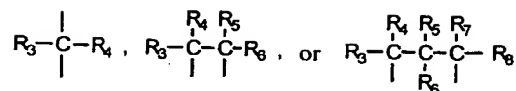
or

20



T is generally able to form a complex with the catalytic side of a DPP-IV.

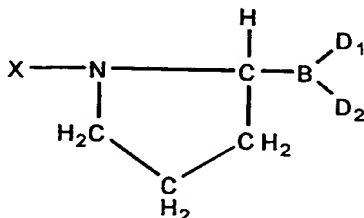
Y is:



and each R_1 , R_2 , R_3 , R_4 , R_5 , R_6 , R_7 , and R_8 , separately is a group which does not significantly interfere with site specific recognition of the inhibitory compound by DPP-IV, and allows a complex to be formed with DPP-IV. Preferably, R_1 - R_8 are H. X is any number of C, H, O, S, or N atoms, in any combination, including any amino acid or organic molecule, and m can vary from 0 to 20.

In preferred embodiments, T is a boronate group, a phosphonate group, a cyano group, or a trifluoroalkyl ketone group; each R_1 - R_8 is H; each R_1 and R_2 is H, and each Y is CH_2 - CH_2 ; each R is independently chosen from the R group of proline and alanine; the inhibitory compound has a binding or dissociation constant to DPP-IV of at least 10^{-9}M , 10^{-8}M or even 10^{-7}M ; and each D1 and D2 is, independently, F or D1 and D2 together are a ring containing 1 to 20 carbon atoms, and optionally heteroatoms which can be N, S, or O. These compounds are described in U.S. Patent No. 5,462,928, hereby incorporated by reference.

The more preferred compounds are of the formula:



where each D_1 and D_2 , independently, is a hydroxyl group or a group which is capable of being hydrolyzed to a hydroxyl group in aqueous solution at physiological pH; and wherein X is a targeting moiety that mimics the site of a substrate recognized and cleaved by DPP-IV, and preferably is an amino acid, an imino acid, or a peptide which mimics the site of a substrate recognized by a post prolyl cleaving enzyme; C is bonded to B in the L-configuration; and the bonds between B and C, and between Y and N are peptide bonds. By the expression "C is bonded to B in the L-configuration" is meant that the absolute configuration of the C is like that of an L-amino acid.

The active Xaa-boroPro compound of this invention can have an open chain (linear) form or a cyclic form. The linear form can be converted to the cyclic form by a trans to cis isomerization of the proline, and the formation of a new N-B bond. Accordingly, "cyclic form" refers to the cyclized structure of the compounds described in the foregoing formula that are the boron analogs of diketopiperazine.

In a particularly preferred embodiment of the invention, the active compound is an Xaa-boroPro compound, and still more preferably is a Val-boroPro compound. A "Val-boroPro compound" refers to a compound as defined in the formula above in which the carboxy terminal boroPro is covalently coupled via a peptide linkage in accordance with standard peptide chemistry to a valine amino acid residue. In a most preferred embodiment, the compound of the invention is Val-boroPro (also referred to by the manufacturer's designation "PT-100").

The preferred active compounds have targeting moieties that are peptides which mimic the substrate binding site of DPP-IV. Peptide analogs and nonpeptides or peptidomimetics also can be used as targeting moieties. Such molecules can be rationally designed based upon the known sequence of substrates of DPP-IV or can be identified using combinatorial chemistry and screening assays such as are described below.

The development of phage display libraries and chemical combinatorial libraries permits the selection of synthetic compounds which mimic the substrate binding site of a protease such as DPP-IV. Such libraries can be screened to identify non-naturally occurring putative targeting moieties by assaying protease cleavage activity in the presence and absence of the putative phage display library molecule or combinatorial library molecule and determining whether the molecule inhibits cleavage by the protease of its natural substrate or of a substrate analog (e.g., a chromophoric substrate analog which is easily detectable in a spectrophotometric assay). Those phage library and/or combinatorial library molecules which exhibit inhibition of the protease then can be covalently coupled to the reactive groups R disclosed herein and again tested to determine whether these novel molecules selectively bind to the protease (e.g., by repeating the above-noted screening assay). In this manner, a simple, high-through-put screening assay is provided for identifying non-naturally occurring targeting moieties of the invention.

Substrates

The substrates of this invention are those substrates which share a conserved NH₂-X-Pro sequence (where X is any amino acid or a short peptide) at the NH₂ terminus of the molecule. Substrates having this structural configuration act as substrates for DPP-IV. The inhibitory effect of the present compounds on DPP-IV serves to increase the bioavailability of the substrate in the subject, which in turn results in a positive biological result. For instance, increased bioavailability of selected substrates can produce an increase in immune and anti-inflammatory function in the subject. Suitable substrates are known in the art, but until now, no one has correlated the use of DPP-IV inhibitors with a positive medical effect on those conditions which are mediated by the presence and activity of the substrates.

Typical substrates which are the subject of this invention are more fully described below. It should of course be appreciated, however, that other unspecified substrates having conserved NH₂-X-Pro sequences, although not specifically described, would also be within the scope of this invention.

SDF-1, or stromal cell-derived factor 1, is a CXC chemokine containing a proline residue at the second position from the N-terminus of the molecule. SDF-1 acts on lymphocytes and monocytes but not neutrophils *in vitro*, and is an effective and potent mononuclear cell attractant *in vivo*. SDF-1 is expressed in a broad range of tissues, it may assist in the treatment of arteriosclerosis, and it also may have anti-HIV activity.

MIP-1 (macrophage inflammatory protein-1) is an attractant for lymphocytes which are essential for immune and inflammatory responses.

RANTES (regulated on activation, normal T-cell expressed and secreted) modulates integrin adhesion and has also been implicated in inflammatory diseases.

GLP-1 (glucagon-like peptide-1) is known to stimulate insulin secretion. This effect is limited *in vivo* due to the rapid degradation of GLP-1 by DPP-IV. DPP-IV inhibition, using the active compounds of this invention, would potentiate its insulinotropic effects, and may provide assist in the treatment of diabetes.

GLP-2 (glucagon-like peptide-2) is known to stimulate small intestinal growth through the induction of intestinal epithelial proliferation. GLP-2 is also inactivated by DPP-IV *in vivo*. DPP-IV inhibition may result in increased capacity for nutritional digestion and absorption *in vivo*, and provide a treatment for AIDS, anemia and anorexia. GLP-2 may be therapeutically useful to enhance mucosal regeneration in patients with intestinal diseases.

Inhibition of DPP-IV promotes the absorption of enterostatin and desarginine-enterostatin across rat jejunum.

G-CSF (granulocyte-colony stimulating factor) is a growth factor for hematopoietic cells such as neutrophils.

5 EPO (erythropoietic-6) is a red blood cell growth factor.

IL-6 (Interleukin-6) is a hematopoietic and lymphocyte growth factor.

IL-11 (Interleukin-11) is a lymphokine.

IL-8 (Interleukin-8) is a hematopoietic growth factor, angiogenesis cytokine.

Substance P is a neuropeptide and a hematopoietic growth factor.

10 Other suitable chemokines include Substance P, which has vasoactive properties, monomeric fibrin, which effects blood clotting, fibronectin, which promotes binding of hepatocytes and could enhance liver regeneration, MIP-3, a chemoattractant for B-cells, and collagen types I, II, III, and IV, which regulate in part the migration of a number of effector cells, including T cells, across the endothelial barrier.

15 Similarly, other medical disorders which may be treated according to the method of this invention include allergies, angiogenesis, cardiogenesis, anti-tumor responses, hepatic disease, and organ vascularization.

Substrates not specifically disclosed herein, both known and unknown, which are capable of acting as substrates for DPP-IV, are considered to be fully within the scope of this
20 invention.

Formulation of Pharmaceutical Composition

The compounds of the invention or compositions thereof can be administered alone or in combination with one another, or in combination with other therapeutic agents. For
25 example, treatment with one or more of the compounds of the invention can be combined with more traditional therapies for treating medical disorders, or combined with other cytokines to enhance treatment success.

When administered, the pharmaceutical preparations of the invention are applied in pharmaceutically-acceptable amounts and in pharmaceutically-acceptably compositions. Such
30 preparations may routinely contain salt, buffering agents, preservatives, compatible carriers, and optionally other therapeutic agents. When used in medicine, the salts should be pharmaceutically acceptable, but non-pharmaceutically acceptable salts may conveniently be

used to prepare pharmaceutically-acceptable salts thereof and are not excluded from the scope of the invention. Such pharmacologically and pharmaceutically-acceptable salts include, but are not limited to, those prepared from the following acids: hydrochloric, hydrobromic, sulfuric, nitric, phosphoric, maleic, acetic, salicylic, citric, formic, malonic, succinic, and the like. Also, pharmaceutically-acceptable salts can be prepared as alkaline metal or alkaline earth salts, such as sodium, potassium or calcium salts. The pharmaceutical compositions also may contain, optionally, suitable preservatives, such as: benzalkonium chloride; chlorobutanol; parabens and thimerosal. Carrier formulation suitable for oral, subcutaneous, intravenous, intramuscular, etc., administrations can be found in Remington's Pharmaceutical Sciences, Mack Publishing Co., Easton, PA.

Routes of Administration

A variety of administration routes are available for treating a subject. The particular mode of delivery selected will depend, of course, upon the particular compound selected, the severity of the condition being treated and the dosage required for therapeutic efficacy. The methods of the invention, generally speaking, may be practiced using any mode of administration that is medically acceptable, meaning any mode that produces effective levels of the active compounds without causing clinically unacceptable adverse effects. Such modes of administration include oral, rectal, topical, nasal, interdermal, or parenteral routes.

Compositions suitable for oral administration may be presented as discrete units, such as capsules, tablets, lozenges, each containing a predetermined amount of the compound of the invention. Other compositions include suspensions in aqueous liquids or non-aqueous liquids such as a syrup, elixir or an emulsion. The oral preparation may include an enteric coating.

As used herein, the term "parenteral" includes subcutaneous, intravenous, intramuscular, or infusion. Compositions suitable for parenteral administration conveniently comprise a sterile aqueous preparation of the compound, which is preferably isotonic with the blood of the recipient. This aqueous preparation may be formulated according to known methods using suitable dispersing or wetting agents and suspending agents. The sterile injectable preparation also may be a sterile injectable solution or suspension in a non-toxic parenterally-acceptable diluent or solvent, for example, as a solution in 1,3-butane diol. Among the acceptable vehicles and solvents that may be employed are water, Ringer's

solution, and isotonic sodium chloride solution. In addition, sterile, fixed oils are conventionally employed as a solvent or suspending medium. For this purpose any bland fixed oil may be employed including synthetic mono- or di-glycerides. In addition, fatty acids such as oleic acid may be used in the preparation of injectables. Intravenous or intramuscular routes are not particularly suitable for long-term therapy and prophylaxis. They could, however, be preferred in emergency situations. Oral administration will be preferred for prophylactic and other treatment because of the convenience to the patient as well as the dosing schedule.

The pharmaceutical compositions may conveniently be presented in unit dosage form and may be prepared by any of the methods well-known in the art of pharmacy. The methods include the step of bringing the compounds of the invention into association with a carrier which constitutes one or more accessory ingredients. In general, the compositions are prepared by uniformly and intimately bringing the compounds into association with a liquid carrier, a finely divided solid carrier, or both, and then, if necessary, shaping the product.

Other delivery systems can include time-release, delayed release or sustained release delivery systems. Such systems can avoid repeated administrations of the compounds described above, increasing convenience to the subject and the physician. Many types of release delivery systems are available and known to those of ordinary skill in the art. They include polymer base systems such as poly(lactide-glycolide), copolyoxalates, polycaprolactones, polyesteramides, polyorthoesters, polyhydroxybutyric acid, and polyanhydrides. Microcapsules of the foregoing polymers containing drugs are described in, for example, U.S. Patent 5,075,109. Delivery systems also include non-polymer systems that are: lipids including sterols such as cholesterol, cholesterol esters and fatty acids or neutral fats such as mono- di- and tri-glycerides; hydrogel release systems; sylastic systems; peptide based systems; wax coatings; compressed tablets using conventional binders and excipients; partially fused implants; and the like. Specific examples include, but are not limited to: (a) erosional systems in which the compound is contained in a form within a matrix such as those described in U.S. Patent Nos. 4,452,775, 4,667,014, 4,748,034 and 5,239,660 and (b) diffusional systems in which an active component permeates at a controlled rate from a polymer such as described in U.S. Patent Nos. 3,832,253, and 3,854,480. In addition, pump-based hardware delivery systems can be used, some of which are adapted for implantation.

Use of a long-term sustained release implant may be particularly suitable for treatment

of chronic conditions. Long-term release, as used herein, means that the implant is constructed and arranged to deliver therapeutic levels of the active ingredient for at least 10 days, and preferably 60 days. Long-term sustained release implants are well-known to those of ordinary skill in the art and include some of the release systems described above.

5 The compounds described herein are administered in effective amounts. An effective amount is a dosage of the compound sufficient to provide a medically desirable result. The effective amount will vary with the particular condition being treated, the age and physical condition of the subject being treated, the severity of the condition, the duration of the treatment, the nature of the concurrent therapy (if any), the specific route of administration, and like factors within the knowledge and expertise of the health practitioner. An effective
10 amount for stimulating a desired immune response also can be measured, for example, by determining a change in the immune function in a subject (e.g., increased B cell response, increased cytotoxic T cell response, or an ability to slow, halt, or prevent an infection). An effective amount for treating an autoimmune disorder or allergic disorder would be that
15 amount sufficient to lessen or inhibit altogether the immune or allergic response associated with the disorder so as to slow or halt the development of or the progression of the disorder. As used in the claims, "inhibit" embraces all of the foregoing. Likewise, an effective amount for treating an immune system disorder is that amount which can slow or halt altogether the symptoms associated with the immune system disorder so as to prevent the disorder, slow its
20 progression, or halt the progression of the immune system disorder. It is preferred generally that a maximum dose be used, that is, the highest safe dose according to sound medical judgment.

 Generally, doses of active compounds will be from about 0.001mg/kg per day to 1000 mg/kg per day. It is expected that doses range of 0.01 to 100 mg/kg per day will be suitable,
25 preferably orally and in one or several administrations per day. Lower doses will result from other forms of administration, such as intravenous administration. In the event that a response in a subject is insufficient at the initial doses applied, higher doses (or effectively higher doses by a different, more localized delivery route) may be employed to the extent that patient tolerance permits. Multiple doses per day are contemplated to achieve appropriate systemic
30 levels of compounds.

 All patents, references and other documents that are identified in this patent application are incorporated in their entirety herein by reference.

The following examples are illustrative only and are not intended to limit the invention in any way. A particularly preferred compound of the invention, Val-boroPro ("PT-100"), is used in the examples.

Throughout this application and in particular, in each of the examples, particular
5 embodiments are described and illustrated. It is to be understood that any of the reactive groups disclosed herein can be substituted for the particular reactive groups (e.g., boronyl group) as described in the examples.

EXAMPLE 1: GENERAL SYNTHESIS OF ACTIVE COMPOUNDS

10 Synthesis of the boroPro compounds of this invention are described in Bachovchin '493. In general, the preparatory technique involves straightforward peptide coupling chemistry. The standard peptide coupling chemistry methods and procedures used in this invention are readily available. Examples of books using these methods include, but are not limited to, the following citations incorporated herein by reference: P.D. Bailey, An
15 Introduction to Peptide Chemistry, Ed.: John Wiley & Sons, 1990; Miklos Bodansky, Peptide Chemistry, A Practical Textbook, Ed.: Springer-Verlag, 1988; Miklos Bodansky, Principles of Peptide Synthesis, "Reactivity and Structure Concepts in Organic Chemistry," Volume 16, Ed.: Springer-Verlag, 1984; and Miklos Bodansky, Principles of Peptide
20 Synthesis, "Reactivity and Structure Concepts in Organic Chemistry," Volume 21, Ed.: Springer-Verlag, 1984.

The compounds of the invention can begin with the synthesis of H-boroPro as taught in WO 98/00439. Use of H-boroPro is for illustrative purposes only, and is not intended to limit the scope of this invention.

25 According to WO 98/00439, H-boroPro was prepared by the synthetic route previously developed and described (G.R. Flentke, et al., "Inhibition of dipeptidyl aminopeptidase IV (DP-IV) by Xaa-boroPro dipeptides and use of these inhibitors to examine the role of DP-IV in T-cell function," PNAS (U.S.A.) 88, 1556-1559 (1991); also described in United States Patent No. 5,462,928). Alternatively, H-boroPro may be produced by a new procedure (Kelly, T.A., et al., "The efficient synthesis and simple resolution of a proline boronate ester suitable
30 for enzyme inhibition studies," Tetrahedron 49, 1009-1016 (1993)). Both of these synthetic routes reportedly yield racemic H-boroPro pinanediol.

According to WO 98/00439, stereochemically pure L, L and L, D diastereomers of Z-

Lys-boroPro were prepared by first resolving racemic H-boroPro through crystallization with optically active blocking protecting groups ((1S, 2S, 3R, 5S)-+pinanediol isomer) followed by coupling the isotopically pure L-boroPro and D-boroPro to the stereochemically pure L isomer of lysine (See United States Patent No. 5,462,928). Alternatively, the L,L and L,D diastereomers of Lys-boroPro were prepared in high optical purity by coupling racemic H-boroPro by L-Lys and separating the resulting diastereomeric Z-Lys-boroPro-diester into its component L,D and L,L diastereomers using reverse phase HPLC as previously described for diastereomeric Pro-boroPro (W.G. Gutheil and W.W. Bachovchin, "Separation of L-Pro-DL-boroPro into Its Component Diastereomers and Kinetic Analysis of Their Inhibition of Dipeptidyl Peptidase IV. A New Method for the Analysis of Slow, Tight-Binding Inhibition," Biochemistry 32, 8723-8731 (1993)).

EXAMPLE 2: SYNTHESIS OF ACTIVE CYCLIC COMPOUNDS

In aqueous solution at all pH values, the inhibitors exist as a slowly equilibrating mixture of two conformations: an open chain structure (linear boroProline compound) which is inhibitory (active species), and a cyclic structure (cyclic boroProline compound) which is non-inhibitory (inactive species). The open, active, inhibitory chain species is favored at low pH while the cyclized structure is favored at neutral pH. The reaction is fully reversible: the open chain becomes predominant at low pH. The open chain to cyclic species reaction involves a trans to cis isomerization of the proline and the formation of a new N-B bond. The cyclized structure is the boron analog of a diketopiperazine, a product often seen in peptide chemistry. Cyclization liberates one equivalent of H⁺ thereby explaining the requirement for base in the cyclization reaction and acid in the opening reaction. The cyclic structure is quite stable in aqueous solutions of high pH.

Prolonged incubation at high pH does not lead to the complete disappearance of DPP-IV inhibitory activity for any of the Xaa-boroPro compounds examined. This observation was the first evidence that the active inhibitor was in a conformation equilibrium with a non-inhibitory species rather than undergoing an irreversible inactivation. The half life for the reformation of the open chain species from the cyclic structure is surprisingly low. Thus, it was concluded that the loss of inhibitory activity in aqueous solution was due to a pH dependent conformational equilibrium rather than a degradation reaction.

The fact that the inhibitory activity does not go to zero for any of the Xaa-boroPro

inhibitors, even after prolonged incubation, together with the fact that the reverse reaction, i.e., cyclic to open chain is slow, suggested that it should be possible to measure the equilibrium constant for the conformation equilibrium by measuring the apparent K_i at equilibrium and comparing it with the true K_i . It has been reported that the ratio of [cyclic]:
5 [open] forms, at neutral pH, is 156:1 for Pro-boroPro and 1130:1 for Val-boroPro (W.G. Gutheil and W.W. Bachovchin, Separation of L-Pro-DL-boroPro into Its Component Diastereomers and Kinetic Analysis of Their Inhibition of Dipeptidyl Peptidase IV. A New Method for the Analysis of Slow, Tight-Binding Inhibition, *Biochemistry* 32, 8723-8731 (1993)). This means that less than 1% Pro-boroPro and less than 0.1% of Val-boroPro exists
10 as the open chain, inhibitory species, at equilibrium at pH 7.0. Nevertheless, under these conditions the inhibitors behave as though they had K_i 's of 2.5 nM and 1.8 nM respectively. This apparent K_i of the "fully inactivated" species is still substantially better than, (~1000-fold) that of other inhibitors of DPP-IV thus far reported.

The inventors believe that the cyclic compounds of the invention have the ability to
15 specifically bind to CD26. Accordingly, the inventors predict that the biological function of the compounds of the invention could be significantly increased (approximately 100-1000 times) by orally administering the cyclic compounds of the invention and permitting the conformational changes, e.g., linearization, to occur *in vivo* (e.g., under the acidic conditions of the stomach).

20 Thus, if linearization is necessary, it can be accomplished *in vivo* and therefore, therapeutic concentrations in the systemic circulation can be generated *in situ* and, accordingly, it is believed that the bioactivity of the compounds of the invention can be increased by approximately 100 - 1000 fold. In addition, it is believed that the cyclic boroProline compounds of the invention, in lyophilized or solid form, have improved shelf life properties,
25 thereby contributing to the further utility of the compounds of the invention.

Each of the compounds prepared as described above can be purified to homogeneity using HPLC and its identity can be confirmed by NMR spectroscopy, amino acid composition, or mass spectroscopy as deemed necessary.

The cyclic compounds of the invention can be converted to linear form by adjusting
30 the pH to an acidic pH (e.g., pH range: 1-3) and the potency of inhibition of CD26 proteinase activity by the linear boroProline compounds can be determined using conventional enzyme analysis (example provided below). In addition, the immunomodulatory effects of the

compounds of the invention are evaluated by *in vivo* experiments using animal models and by *in vitro* experiments using cell culture methods that are believed by those of ordinary skill in the art to be predictive of an *in vivo* activity.

5 **EXAMPLE 3: ASSESSMENT OF FUNCTIONAL ACTIVITY**

The compounds of the invention have at least the following properties: (i) binding site is the DPP-IV active site; and (ii) exhibit cross-species specificity.

The assays which are used to assess functional activity include: DPP-IV activity, oral and subcutaneous bioavailability assays, and are described below.

10 **EXAMPLE 4: MEASURING STANDARD DPP-IV ACTIVITY**

Assays to measure DPP-IV activity can be performed on the compounds of the invention. Methods for quantitatively measuring the interaction of small peptidomimetic inhibitors with DPP- IV, as well as for the interaction of CD26 with larger ligands, e.g., the HIV Tat protein, have been developed (W.G. Gutheil and W.W. Bachovchin. Separation of
15 L-Pro-DL-boroPro into Its Component Diastereomers and Kinetic Analysis of Their Inhibition of Dipeptidyl Peptidase IV. A New Method for the Analysis of Slow, Tight-Binding Inhibition, *Biochemistry* 32, 8723-8731 (1993); Gutheil, W.G., and W., B.W. Kinsq, A Matlab Program for Fitting Kinetics Data with Numerically Integrated Rate Equations and Its Application to the Analysis of Slow, Tight Binding Data, *Analytical Biochemistry* 223, 13-20
20 (1994); Gutheil, W.G., et al., HIV-1 Tat Binds to DP IV (CD26): A possible Mechanism for Tat's Immunosuppressive Activity, *Proc. Natl. Acad. Sci. U.S.A.* 91, 6594-6598 (1994)). These methods use the chromatogenic substrate Ala-Pro-p-nitroanilide (AppNA) and fluorescent substrate Ala-Pro-7-amino-4-trifluoromethyl coumarin (AP-AFC). AppNA and AP-AFC are commercially available (e.g., Enzyme Systems Products, Dublin, CA).

25 **EXAMPLE 5: MEASURING ORAL BIOAVAILABILITY**

An *in vitro* assay of serum DPP-IV activity has been developed, which can be used as a surrogate marker to determine the bioavailability of PT-100 in serum following subcutaneous or oral administration in mice. This assay is based on the ability of PT-100 to inhibit serum DDP-IV protease activity in a dose dependent manner.

30 As shown in Figure 1, mice received PT-100 at indicated doses by gavage. Blood

samples are taken two hours post administration and serum DPP-IV activity is determined. DPP-IV activity is measured in a fluorometric assay using the synthetic substrate 7-amino-4-trifluoromethyl coumarin (AFC)-AlaPro (15). Total inhibition of serum DPP-IV activity is achieved at doses of 2 μ g or 5 μ g PT-100. Doses below 2 μ g result in a dose dependent decrease of DPP-IV inhibition.

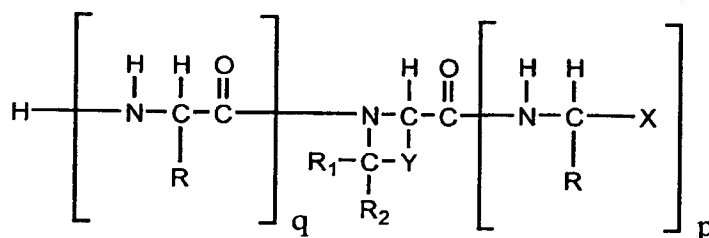
While the invention has been described with respect to certain embodiments, it should be appreciated that many modifications and changes may be made by those of ordinary skill in the art without departing from the spirit of the invention. It is intended that such modifications, changes and equivalents fall within the scope of the appended claims.

What is claimed is:

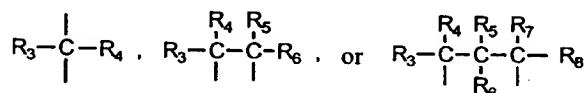
CLAIMS

1. A method for treating a medical disorder in a subject mediated by the alteration of substrate activity comprising administering to the subject an effective amount of a compound having the formula PR, wherein P represents a targeting moiety that binds to DPP-IV, and R represents a reactive group that reacts a reactive center of DPP-IV, said amount being sufficient to prevent chemokine alteration by inhibiting DPP-IV activity.

2. The method of claim 1 wherein the compound has the formula

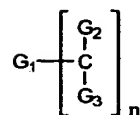


10 where H represents a hydrogen; C represents a carbon; O represents an oxygen; N represents a nitrogen; each R, independently, is chosen from the group consisting of the R groups of an amino acid, including proline; X represents any atom that forms a single bond with carbon; Y is



15 and each R₁, R₂, R₃, R₄, R₅, R₆, R₇, and R₈, separately is a group which does not significantly interfere with site specific recognition of the inhibitory compound by DPP-IV, and allows a complex to be formed with DPP-IV, each H represents that bond or a hydrogen; and q and p are integers which are independently varied between 0 and 4 inclusive.

3. The method of claim 1 wherein the compound has the formula

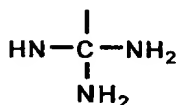


where n is between 0 and 3 inclusive,

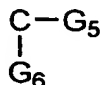
each G_2 and G_3 independently is H or C_1 - C_3 alkyl,

G_1 is NH_3 or

5



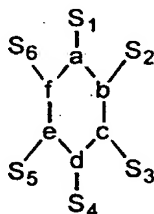
or G_1 is NG_4 , where G_4 is



where G_5 and G_6 can be NH, H, or Cl - C_3 alkyl or alkenyl with one or more carbons substituted with a nitrogen; G_1 bears a charge, and G_1 and G_2 do not form a covalently bonded ring structure at pH 7.0.

10

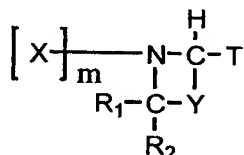
4. The method of claim 1 wherein the compound has the formula



where one or two of the a, b, c, d, e, and f groups is N, and the rest are C, and each S_1 - S_6 independently is H or C_1 - C_3 alkyl.

15 5. The method of claim 1 wherein the compound is a five membered unsaturated ring having two nitrogen atoms.

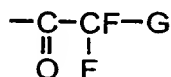
6. The method of claim 5 wherein the compound is an imidazole ring.
7. The method of claim 1 wherein the compound has the formula



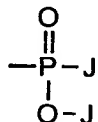
where T is selected from a group of the formula:



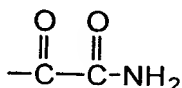
where each D₁ and D₂, independently, is a hydroxyl group or a group which is capable of being hydrolyzed to a hydroxyl group in aqueous solution at physiological pH; a group of the formula:



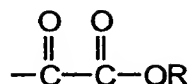
- 10 where G is either H, F, or an alkyl group containing 1 to 20 carbon atoms and, optionally, heteroatoms which can be N, S or O; a phosphonate group of the formula:



- where each J, independently, is any number of C, H, O, S or N atoms in any combination, or O-alkyl, N-alkyl, or alkyl, each O-alkyl, N-alkyl or alkyl containing 1-20 carbon atoms and, optionally, heteroatoms which can be N, S, or O; a group of formula
- 15

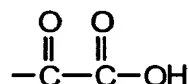


a group of formula

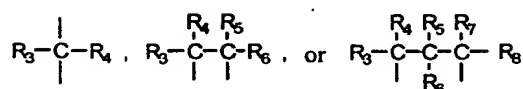


where R is an alkyl, or aryl group and may be substituted or unsubstituted, an alkyl keto ester; or a group of formula

5



Y is a group of formula:



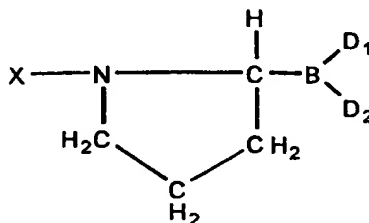
and each R₁, R₂, R₃, R₄, R₅, R₆, R₇, and R₈ are H;

X is any number of C, H, O, S, or N atoms; and

10 m can vary from 0 to 20.

8. The method of claim 7 wherein T is a boronate group, a phosphonate group, a cyano group, or a trifluoroalkyl ketone group; each R₁ and R₂ is H, each Y is CH₂-CH₂; each R is independently chosen from the R group of proline and alanine; the inhibitory compound has a binding or dissociation constant to DPP-IV of at least 10⁻⁹M; and each D1 and D2 is,
15 independently, F, or D1 and D2 together are a ring containing 1 to 20 carbon atoms, and optionally heteroatoms which can be N, S, or O.

9. The method of claim 7 wherein the compound has the formula



where each D_1 and D_2 is a hydroxyl group; wherein X an amino acid; and wherein C is bonded to B in the L-configuration.

10. The method of claim 9 wherein the compound is Val-boroPro.

11. The method of claim 9 wherein the compound is cyclic Xaa-boroPro.

5 12. The method of claim 1 wherein the substrate is selected from the group consisting of SDF-1, RANTES, MIP-1, MIP-3, GLP-2, G-CSF, EPO, IL-6, IL-11, IL-8, Substance P, fibronectin, and monomeric fibrin.

10 13. The method of claim 1 wherein the medical condition is selected from the group consisting of arteriosclerosis, allergies, inflammation, angiogenesis, cardiogenesis, neoplasm, tumor, cancer, a hepatic disease, an intestinal disease, organ vascularization, and microbial and viral infections.

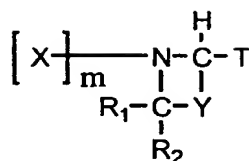
14. The method of claim 1 wherein the compound is given to the subject by oral administration.

15 15. The method of claim 1 wherein the compound is given to the subject by parenteral administration.

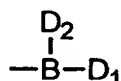
16. The method of claim 1 wherein the effective amount is in the range of 0.01 mg/kg per day to 100 mg/kg per day.

20 17. A pharmaceutical composition for treating a medical disorder in a subject mediated by chemokine inactivation comprising
a pharmaceutically acceptable carrier; and
an effective amount of a compound having the formula

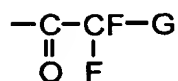
- 27 -



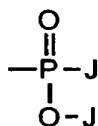
where T is selected from a group of the formula:



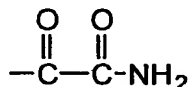
where each D₁ and D₂, independently, is a hydroxyl group or a group which is capable of being hydrolyzed to a hydroxyl group in aqueous solution at physiological pH; a group of the formula:



where G is either H, F, or an alkyl group containing 1 to 20 carbon atoms and, optionally, heteroatoms which can be N, S or O; a phosphonate group of the formula:

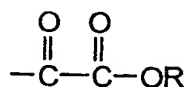


where each J, independently, is any number of C, H, O, S or N atoms in any combination, or O-alkyl, N-alkyl, or alkyl, each O-alkyl, N-alkyl or alkyl containing 1-20 carbon atoms and, optionally, heteroatoms which can be N, S, or O; a group of formula

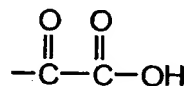


a group of formula

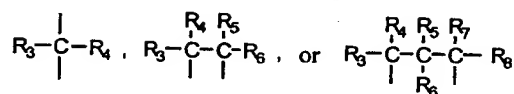
- 28 -



where R is an alkyl, or aryl group and may be substituted or unsubstituted, an alkylphaketo ester; or a group of formula



5 Y is a group of formula:



and each R₁, R₂, R₃, R₄, R₅, R₆, R₇, and R₈ are H;

B is boron;

X is any number of C, H, O, S, or N atoms; and

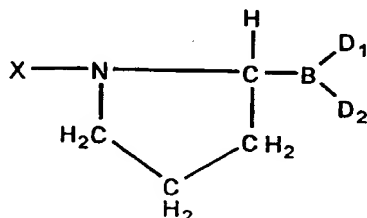
10 m can vary from 0 to 20.

18. The composition of claim 17 wherein T is a boronate group, a phosphonate group, a cyano group, or a trifluoroalkyl ketone group; each R₁ and R₂ is H, each Y is CH₂-CH₂; each R is independently chosen from the R group of proline and alanine; the inhibitory compound has a binding or dissociation constant to DPP-IV of at least 10⁻⁹M; and each D1 and D2 is,
15 independently, F, or D1 and D2 together are a ring containing 1 to 20 carbon atoms, and optionally heteroatoms which can be N, S, or O.

19. A pharmaceutical composition for treating a medical disorder in a subject mediated by chemokine inactivation comprising

a pharmaceutically acceptable carrier; and

20 an effective amount of a compound having the formula



where each D_1 and D_2 is a hydroxyl group; wherein X an amino acid; and wherein C is bonded to B in the L-configuration.

20. The method of claim 19 wherein the compound is Val-boroPro.
21. The method of claim 19 wherein the compound is cyclic Xaa-boroPro.

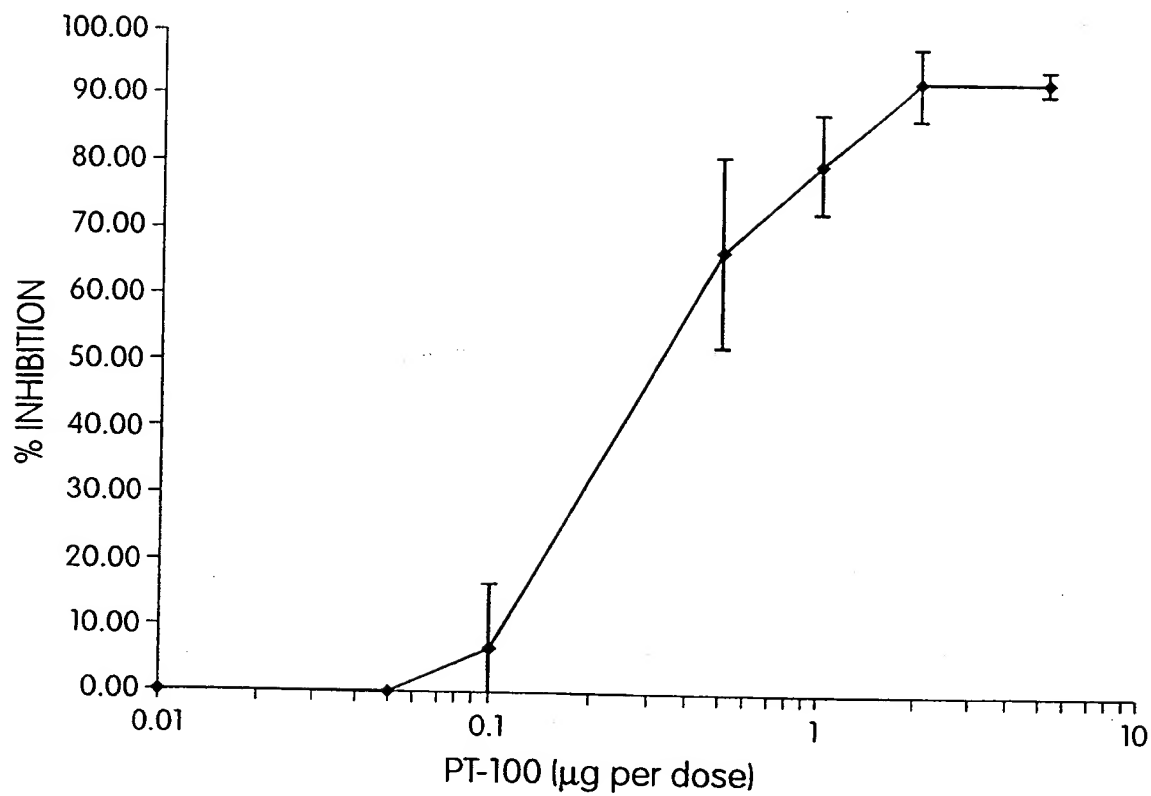


Fig. 1

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/18315

| | | | | | | | |
|--|--|-----------|--|-----------|-----------------------|--|--|
| A. CLASSIFICATION OF SUBJECT MATTER | | | | | | | |
| IPC 7 | A61K31/00 | A61K31/69 | A61K38/55 | A61P37/00 | A61P37/08 | | |
| | A61P29/00 | A61P35/00 | A61P1/00 | A61P9/10 | A61P31/00 | | |
| | A61P31/12 | A61P43/00 | | | | | |
| According to International Patent Classification (IPC) or to both national classification and IPC | | | | | | | |
| B. FIELDS SEARCHED | | | | | | | |
| Minimum documentation searched (classification system followed by classification symbols) | | | | | | | |
| IPC 7 | A61K | | | | | | |
| Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched | | | | | | | |
| Electronic data base consulted during the international search (name of data base and, where practical, search terms used) | | | | | | | |
| C. DOCUMENTS CONSIDERED TO BE RELEVANT | | | | | | | |
| Category | Citation of document, with indication, where appropriate, of the relevant passages | | | | Relevant to claim No. | | |
| E | WO 99 62914 A (POINT THERAPEUTICS INC) 9 December 1999 (1999-12-09) abstract page 3, line 12 -page 6, line 14 page 15, line 25 -page 17, line 4 page 20, line 7 - line 12; claims; examples | | | | 1-21 | | |
| P,X | WO 99 38501 A (DRUCKER DANIEL J ;PLAUT ANDREW G (US); BACHOVCHIN WILLIAM W (US);) 5 August 1999 (1999-08-05) abstract page 6, line 31 -page 8, line 4 page 28, line 25 -page 35, line 5; claims; examples | | | | 1-21 | | |
| -/-- | | | | | | | |
| <input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. | | | | | | | |
| <input checked="" type="checkbox"/> Patent family members are listed in annex. | | | | | | | |
| * Special categories of cited documents : <table border="0"> <tr> <td style="vertical-align: top;"> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </td> <td style="vertical-align: top;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p> </td> </tr> </table> | | | | | | <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> | <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p> |
| <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> | <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p> | | | | | | |
| Date of the actual completion of the international search | | | Date of mailing of the international search report | | | | |
| 27 January 2000 | | | 03/02/2000 | | | | |
| Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 | | | Authorized officer Hoff, P | | | | |

INTERNATIONAL SEARCH REPORT

Intern: al Application No
PCT/US 99/18315

| C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT | | |
|--|--|-----------------------|
| Category | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| P,X | WO 99 28474 A (ORAVECZ TAMAS ;GOVERNMENT OF THE UNITED STATE (US); NORCROSS MICHA) 10 June 1999 (1999-06-10) abstract page 2, line 12 - line 21 page 4, line 12 - line 25 page 22, line 1 -page 23, line 28; claims 33,37-39 | 1,12-16 |
| P,X | WO 98 50046 A (TUFTS COLLEGE) 12 November 1998 (1998-11-12) the whole document | 1-21 |
| X | WO 95 11689 A (TUFTS COLLEGE ;BACHOVCHIN WILLIAM W (US)) 4 May 1995 (1995-05-04) cited in the application the whole document | 1-21 |
| X | WO 93 08259 A (NEW ENGLAND MEDICAL CENTER INC ;UNIV TUFTS (US)) 29 April 1993 (1993-04-29) the whole document, in particular page 21, lines 4-30 | 1-21 |
| X | WO 91 16339 A (NEW ENGLAND MEDICAL CENTER INC ;UNIV TUFTS MED (US)) 31 October 1991 (1991-10-31) the whole document, in particular page 17, line 27 to page 18, line20 | 1-21 |
| X | WO 95 15309 A (FERRING BV ;JENKINS PAUL D (GB); JONES D MICHAEL (GB); SZELKE MICH) 8 June 1995 (1995-06-08) abstract page 1, paragraph 3 -page 6, last paragraph; claims; examples | 1-21 |
| X | WO 94 03055 A (US HEALTH ;UNIV TUFTS (US)) 17 February 1994 (1994-02-17) the whole document | 1-21 |
| X | WO 98 25644 A (1149336 ONTARIO INC ;DRUCKER DANIEL J (CA)) 18 June 1998 (1998-06-18) abstract page 2, line 15 -page 5, line 1 page 9, line 18 - line 21 page 15, line 29 -page 16, line 19; claims 1,6,7,11-18; example 6 | 1-21 |
| | --- -/-- | |

INTERNATIONAL SEARCH REPORT

Internat Application No

PCT/US 99/18315

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|----------|---|-----------------------|
| A | BRISTOL L A ET AL: "Inhibition of CD26 enzyme activity with pro-boropro stimulates rat granulocyte/macrophage colony formation and thymocyte proliferation in vitro" BLOOD, US, PHILADELPHIA, PA, vol. 85, no. 12, 15 June 1995 (1995-06-15), pages 3602-3609, XP002092855 ISSN: 0006-4971 abstract page 3606, right-hand column, last paragraph -page 3607, right-hand column, last paragraph --- | 1-21 |
| A | SHIODA, TATSUO ET AL: "Anti-HIV-1 and chemotactic activities of human stromal cell-derived factor 1.alpha. (SDF-1.alpha.) and SDF-1.beta. are abolished by CD26/dipeptidyl peptidase IV-mediated cleavage" PROC. NATL. ACAD. SCI. U. S. A. (1998), 95(11), 6331-6336, XP000867405 the whole document --- | 1-21 |
| A | ORAVECZ, TAMAS ET AL: "Regulation of the receptor specificity and function of the chemokine RANTES (regulated on activation, normal T cell expressed and secreted) by dipeptidyl peptidase IV (CD26)-mediated cleavage" J. EXP. MED. (1997), 186(11), 1865-1872, XP000870172 the whole document ----- | 1-21 |

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/ 18315

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
Remark: Although claim(s) 1-16,20,21
is(are) directed to a method of treatment of the human/animal
body, the search has been carried out and based on the alleged
effects of the compound/composition.
2. ☒ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such
an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all
searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment
of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report
covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is
restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

Continuation of Box I.2

Present claims 1,12-16 relate to a compound defined by reference to a desirable characteristic or property, namely "targeting moiety that binds to DPP-IV" and "reactive group that reacts a reactive center of DPP-IV". The claims cover all compounds having this characteristic or property, whereas the application provides support within the meaning of Article 6 PCT and disclosure within the meaning of Article 5 PCT for only a very limited number of such compounds. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Independent of the above reasoning, the claims also lack clarity (Article 6 PCT). An attempt is made to define the compound by its pharmacological profile. Again, this lack of clarity in the present case is such as to render a meaningful search over the whole of the claimed scope impossible.

Furthermore, present claims 2-8,17-18 relate to an extremely large number of possible compounds. Support within the meaning of Article 6 PCT and disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the compounds claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible.

Moreover, claims 1-12,14-21 relate to the treatment of a disease which actually is not well defined. The use of the definition "medical disorder mediated by the alteration of substrate activity" in the present context is considered to lead to a lack of clarity within the meaning of Article 6 PCT. The lack of clarity is such as to render a meaningful complete search impossible.

Consequently, the search has been carried out for those parts of the claims which appear to be clear, supported and disclosed, namely those parts relating to the compounds structurally identified in claims 9,10,11,19-21, to the diseases mentioned in claim 13 and to the general idea underlying the present invention.

Claims searched incompletely: 1-21

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/18315

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
|---|---------------------|----------------------------|---------------------|
| WO 9962914 A | 09-12-1999 | NONE | |
| WO 9938501 A | 05-08-1999 | AU 2493599 A | 16-08-1999 |
| WO 9928474 A | 10-06-1999 | AU 1616499 A | 16-06-1999 |
| WO 9850046 A | 12-11-1998 | AU 7269198 A | 27-11-1998 |
| WO 9511689 A | 04-05-1995 | NONE | |
| WO 9308259 A | 29-04-1993 | CA 2121369 A | 29-04-1993 |
| | | EP 0610317 A | 17-08-1994 |
| | | JP 7504158 T | 11-05-1995 |
| | | US 5462928 A | 31-10-1995 |
| WO 9116339 A | 31-10-1991 | AT 148130 T | 15-02-1997 |
| | | CA 2080474 A | 15-10-1991 |
| | | DE 69124371 D | 06-03-1997 |
| | | DE 69124371 T | 12-06-1997 |
| | | DK 528858 T | 28-07-1997 |
| | | EP 0528858 A | 03-03-1993 |
| | | ES 2099158 T | 16-05-1997 |
| | | GR 3023233 T | 30-07-1997 |
| | | US 5462928 A | 31-10-1995 |
| WO 9515309 A | 08-06-1995 | AU 1113395 A | 19-06-1995 |
| | | AU 8421998 A | 12-11-1998 |
| | | CA 2178066 A | 08-06-1995 |
| | | CN 1141033 A | 22-01-1997 |
| | | CZ 9601595 A | 15-01-1997 |
| | | EP 0731789 A | 18-09-1996 |
| | | FI 962315 A | 05-08-1996 |
| | | HU 76274 A | 28-07-1997 |
| | | JP 9509921 T | 07-10-1997 |
| | | NO 962269 A | 30-07-1996 |
| | | PL 314838 A | 30-09-1996 |
| | | US 5939560 A | 17-08-1999 |
| | | ZA 9409525 A | 02-08-1995 |
| WO 9403055 A | 17-02-1994 | AU 4794393 A | 03-03-1994 |
| WO 9825644 A | 18-06-1998 | US 5952301 A | 14-09-1999 |
| | | AU 5220098 A | 03-07-1998 |
| | | EP 0944396 A | 29-09-1999 |